



# Compliance Check Form FY 2026

Retailer: \_\_\_\_\_ Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

### Results (check one):

Unable to Complete   
(not applicable)

Date Checked: \_\_\_\_\_

Compliant

Time Checked: \_\_\_\_\_

Non-Compliant

Clerk Information: Male  Female

(Name (only required if Non-Compliant))

First Name: \_\_\_\_\_ Middle Initial: \_\_\_\_\_

Last Name: \_\_\_\_\_ Case #: \_\_\_\_\_

I have issued a criminal citation to the clerk listed above for selling tobacco, alternative nicotine or vapor products to a person under age twenty-one. Iowa Code § 453A.2(1).

(NOTE: If the compliance check result is Non-Compliant, a citation must be issued before your department may receive payment.)

### If Unable to Complete the Compliance Check (check one)

- The establishment **has a valid permit, but does not sell** tobacco, alternative nicotine or vapor products. Permit status verified by City Clerk or County Auditor.
- The establishment **no longer holds a valid Tobacco, Alternative Nicotine or Vapor Product Permit.**
- The establishment **is out of business.**
- The establishment **is designated as an "Unsatisfactory Condition"**.
  - "Unsatisfactory Condition" was verified by IDR PC/ Investigator.
  - Trooper conducted a walk-through of the premises.
  - Explanation is required in Comments Section below.

If none of the above reasons apply, write the reason and explanation on the back of this form. See page 5 for a reason list.

### Trooper Information (trooper that conducted the compliance check)

First Name: \_\_\_\_\_ Middle Initial: \_\_\_\_\_ Last Name: \_\_\_\_\_

Badge: \_\_\_\_\_ Department: \_\_\_\_\_

### Confidential Informant (CI)

CI Age: 16  17  18  19  20

CI Gender: Male  Female  CI Number:     (Last 4 digits of CI's ID)

CI Race: White  Asian/Pacific Islander  Black  American Indian/Alaskan Native  Unknown

CI Ethnicity: Not of Hispanic Origin  Hispanic Origin  Unknown

### Results of Attempted Purchase

#### Attempted Purchase Item:

Cigarettes  Smokeless Tobacco  Other Tobacco Product  Vapor Product  Alternative Nicotine Product

Age Requested? Yes  No

ID Requested? Yes  No

Comments:

Trooper Signature \_\_\_\_\_