



Compliance Check Form FY 2026

Retailer: _____ Address: _____

City: _____ State: _____ ZIP: _____

Results (check one):

Unable to Complete ☐
(not applicable)

Date Checked: _____

Compliant ☐

Time Checked: _____

Non-Compliant ☐

Clerk Information: Male ☐ Female ☐

(Name (only required if Non-Compliant))

First Name: _____ Middle Initial: _____

Last Name: _____ Case #: _____

☐ I have issued a criminal citation to the clerk listed above for selling tobacco, alternative nicotine or vapor products to a person under age twenty-one. Iowa Code § 453A.2(1).

(NOTE: If the compliance check result is Non-Compliant, a citation must be issued before your department may receive payment.)

If Unable to Complete the Compliance Check (check one)

☐ The establishment **has a valid permit, but does not sell** tobacco, alternative nicotine or vapor products.
Permit status verified by City Clerk or County Auditor.

☐ The establishment **no longer holds a valid Tobacco, Alternative Nicotine or Vapor Product Permit**.

☐ The establishment **is out of business**.

☐ The establishment **is designated as an "Unsatisfactory Condition"**.

- "Unsatisfactory Condition" was verified by IDR PC/ Investigator.
- Officer conducted a walk-through of the premises.
- Explanation is required in Comments Section below.

If none of the above reasons apply, write the reason and explanation on the back of this form. See page 5 for a reason list.

Officer Information (officer that conducted the compliance check)

First Name: _____ Middle Initial: _____ Last Name: _____

Badge: _____ Department: _____

Confidential Informant (CI)

CI Age: 16 ☐ 17 ☐ 18 ☐ 19 ☐ 20 ☐

CI Gender: Male ☐ Female ☐ CI Number: (Last 4 digits of CI's ID)

CI Race: White ☐ Asian/Pacific Islander ☐ Black ☐ American Indian/Alaskan Native ☐ Unknown ☐

CI Ethnicity: Not of Hispanic Origin ☐ Hispanic Origin ☐ Unknown ☐

Results of Attempted Purchase

Attempted Purchase Item:

Cigarettes ☐ Smokeless Tobacco ☐ Other Tobacco Product ☐ Vapor Product ☐ Alternative Nicotine Product ☐

Age Requested? Yes ☐ No ☐

ID Requested? Yes ☐ No ☐

Comments:

Officer Signature _____

\$75